

Electronic Acknowledgement Receipt

EFS ID:	2703758
Application Number:	10029731
International Application Number:	
Confirmation Number:	5039
Title of Invention:	Method and system for sharing investor information over an electronic network
First Named Inventor/Applicant Name:	Jeffrey G. Tarrant
Customer Number:	9629
Filer:	Steven D. Underwood/Gisele Richardson
Filer Authorized By:	Steven D. Underwood
Attorney Docket Number:	61109-0003
Receipt Date:	11-JAN-2008
Filing Date:	21-DEC-2001
Time Stamp:	13:05:57
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 930
RAM confirmation Number	7823
Deposit Account	500310
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		61109_0003OARRCE.pdf	269400	yes	5
			9cc1215f8d14cae4b249b9065bafc969aae459d		

Multipart Description/PDF files in .zip description

Document Description	Start	End
Extension of Time	1	1
Request for Continued Examination (RCE)	2	2
Amendment After Final	3	5

Warnings:

Information:

2	Fee Worksheet (PTO-06)	fee-info.pdf	8347	no	2
			8bcc99a1e313a0b0deb8c21cdaea86a7c38ab132		

Warnings:

Information:

Total Files Size (in bytes):

277747

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.